

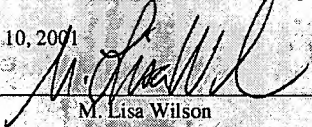


7Docket No. 111590-120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ) Bernard John Carroll  
Serial No.: ) 09/701,926  
Filing Date: ) December 4, 2000  
Group Art Unit: ) Not Yet Assigned  
Examiner: ) Not Yet Assigned  
For: ) Phenotype Modifying Genetic Sequences

Assistant Commissioner for Patents  
Washington, DC 20231

I hereby certify that this correspondence is being transmitted by facsimile to: Assistant Commissioner for Patents, Washington, D.C. 20231 on	
Date: August 10, 2001	Reg. No. 34,045
Signature:  M. Lisa Wilson	

Sir:

**RESPONSE AND PRELIMINARY AMENDMENT**

In response to the Notification of Missing Requirements dated May 21, 2001 ("Notice"; copy enclosed) and the Notification to Comply dated May 21, 2001 (copy enclosed), Applicant respectfully requests entry of the present Preliminary Amendment in the above-identified application as follows.

**IN THE SPECIFICATION:**

Please substitute the first page of the Sequence Listing with the clean version of the sequence listing, following entry of the amendments, as attached in Appendix 1. A marked-up version, showing the changes made thereto, is attached as Appendix 2.